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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/722,563	11/28/2003	Naoki Yoshimura	PTGF-03095

CONFIRMATION NO. 6265

## FORMALITIES LETTER



\*OC000000013144063\*

21254  
 MCGINN & GIBB, PLLC  
 8321 OLD COURTHOUSE ROAD  
 SUITE 200  
 VIENNA, VA 22182-3817

Date Mailed: 07/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/03/2004 BABRAHA1 00000114 10722563

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1202	108.00 OP
04 FC:1201	86.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 56.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$194 as a non-small entity, including any required multiple dependent claim fee, are

required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1094** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
  
- Total additional claim fee(s) for this application is **\$194**
  - **\$86** for **1** independent claims over 3.
  - **\$108** for **6** total claims over 20.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket No. PTGF-03095  
HIR.083

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Naoki Yoshimura et al.

Serial No.: 10/722,563

Group Art Unit: 2826

Filing Date: November 28, 2003

Examiner: Unknown

For: LIGHT EMITTING APPARATUS AND LIGHT EMITTING METHOD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF DECLARATION**

Sir:

In response to the Notice to File Missing Parts of Application dated July 2, 2004 (copy enclosed), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$1134 to cover the \$770 application filing fee, the \$130 surcharge for the late filing of the declaration, \$194 to cover the additional claims fee, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

 46,060

Sean M. McGinn  
Registration No. 34,386

Date: 9/2/04  
McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

Docket No. PTGF-03095

LHP 083



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Naoki Yoshimura et al.

Serial No.: 10/722,563

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Examiner: Unknown

For: **LIGHT EMITTING APPARATUS AND LIGHT EMITTING METHOD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF ASSIGNMENT**

Sir:

Kindly record and return to the undersigned the attached Assignment from Naoki Yoshimura et al. to Toyoda Gosei Co., Ltd. and Independent Administrative Institution, National Institute for Materials Science, for the above-identified patent application. The recording fee in the amount of \$40.00 is included in the fee for Missing Parts.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

46,060

Date: 9/2/04

McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Naoki Yoshimura et al.

Serial No.: 10/722,563

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Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS**

Sir:

In response to the enclosed Notice to File Missing Parts dated July 2, 2004 (copy attached hereto), Applicant submits herewith a Submission of Corrected Formal Drawings in compliance with 37 C.F.R. 1.84 and 37 C.F.R. 1.121. Submitted herewith are forty nine (49) sheets of formal drawings comprising figures 1-57 for the above-identified patent application. Applicant notes that Figure 56 has been corrected in accordance with the suggestion included with the Notice to File Missing Parts. Please substitute these formal drawings for the drawings which were filed with the application. Acknowledgment of receipt is respectfully requested.

Respectfully submitted,  
  
Sean M. McGinn  
Registration No. 34,386

Date: 7/12/04  
McGinn & Gibb, PLLC  
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